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Practice Restricted to Intellectual Property Law

Applicant : Degraaf et al.  
Appl. No : 09/324,920  
Filed : June 3, 1999  
Title : Risk Management System and Method Providing Rule-Based Evolution of a Portfolio of Instruments  
Grp./A.U. : 2164  
Examiner : Alain L. Bashore  
Docket No. : 11483-33

Received

JUN 27 2002

Technology Center 2100

Honorable Commissioner of Patents  
Washington, D.C.  
20231

June 26, 2002

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AMENDMENT

JUL 01 2002

GROUP 3600

Sir:

In response to the office action of March 27, 2002, please amend the above-identified application as follows:

**In the specification:**

Please replace the paragraph beginning at page 6, line 26, with the following rewritten paragraph:

-- Figure 3 shows a Trade Manager 128 in more detail. As shown, each Trade Manager 128 includes a Rule structure 160, a Tracked Position list 164, a Tracking Attribute list 168, a Target Vector 172, a Trade Position list 176 and a Funding Position list 180, each of which is described in further detail below. --

**In the claims:**

Please amend claim 34 as follows:

please send your reply to

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